Ray 10/069 (AplBrief)

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| HEWLETT-PACKARD COMPANY Intellectual Property Administration | | | | PATENT APPLICATION | | | | | | |
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| P.O. Box 272400 Fort Collins, Col | erado 80527-2400 | • | | | ATTORNEY DOCKET | NO | 1000824 | 8 -1 | | |
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| Inventor(s); | Sheil Simpson et | al | ٠ | | Confirmation No | .: 7864 | | | | |
| Application No.: 10/033148 | | | Examiner: Pokrzywa | | | | | | | |
| Filing Date: | Oct 23, 2001 | | | | Group Art Unit: | 2625 | | | | |
| Title: System A | nd Method Of Identif | ying A Pri | inter Output | Tray | | | | | | |
| Mail Stop Appa Commissioner | al Brief-Patents | | | | 01/11/2007 | CNFGA1 | 00000026 | . 0A2025 | 10033148 | |
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| Transmitted herewith is the Appeal Brief in this application with respect to the Notice of Appeal filed onOct. 20, 2006 | | | | | | | | | | |
| The fee for filing | this Appeal Brief is (3 | 7 CFR 1.1 | 7(c)) \$500.00 | ٥. | | | • | | | |
| | | (com | plete (a) or (| (b) as appli | cable) | | | | | |
| The proceedings | s herein are for a pater | nt applicati | on and the p | rovisions of | 37 CFR 1.136(a) ap | ply. | • | | | |
| (a) Applicant months of | patitions for an exten ecked below: | sion of tim | na under 37 | CFR 1.136 | (fees: 37 CFR 1.17 | (a)-(d)) | for the total i | number of | | |
| | 1st Month \$120 | 2 | nd Month \$450 | . 0 | 3rd Month \$1020 | □ ⁴ | th Month \$1590 | | | |
| The exten | sion fee has already b | een filed ir | this applica | tion. | | | | | | |
| (b) Applicant I | pelieves that no extens lifty that applicant has | sion of time inadverten | a is required. Idy overlooks | . However, t | his conditional petition for a petition and fee | n is bei | ng made to p | rovide for | | |
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| deposited w | contify that this corresp rith the United States Po an envelope addressed her for Patents, Alexand osit: | stal Service to: | as first | | spectfully submitted, Il Simpson a al | 1.0 | 18 | _ | | |
| _ | OR | | | | Aatthew L Wade | | | | | |
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| Signature:_ | Chris 9 | uthri | | т | elephone : 208 39 | 6 5263 | | | | |

RECEIVED **CENTRAL FAX CENTER**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Application Serial No | 10/033148 |
|-----------------------|--------------------|
| Confirmation No. | 7864 |
| Filing Date | Oct. 23, 2001 |
| Inventor | Shell S. Simpson |
| Group Art Unit | |
| Examiner | Joseph R. Pokrzywa |
| Attorney's Docket No | |
| Title: | , |

<u>APPEAL BRIEF</u>

1. REAL PARTY IN INTEREST.

The real party in interest is Hewlett-Packard Development Company, LP, a limited partnership established under the laws of the State of Texas and having a principal place of business at 20555 S.H. 249 Houston, TX 77070, U.S.A. (hereinafter "HPDC"). HPDC is a Texas limited partnership and is a wholly-owned affiliate of Hewlett-Packard Company, a Delaware Corporation, headquartered in Palo Alto, CA. The general or managing partner of HPDC is HPQ Holding, LLC.

2. RELATED APPEALS AND INTERFERENCES.

There are no other appeals or interferences known to Appellant, Appellant's legal representative or the Assignee which will affect or be directly affected by or have a bearing on the Board's decision in the pending appeal.

3. STATUS OF CLAIMS.

Claims 11-18 and 24-27 are pending. The rejection of Claims 16 and 26 are appealed. The rejection of Claims 11-15, 17, 24, 25 and 27 are not appealed.

STATUS OF AMENDMENTS.

No amendments were filed after the final action.

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5. SUMMARY OF CLAIMED SUBJECT MATTER.

Independent claim 11, for example, provides for a server 132(specification, p. 5, line 23). The server 132 comprises a means for receiving a request from a client 132 (specification, p. 5, line 23-28). The server 132 further comprises a means for responding to the request 132 by transmitting a program 136 of computer readable instructions to the client 104 (specification, p. 5, line 23-33). The program 136 enables the client 104 to use a specific printer 106 having a plurality of output trays 139 to print a document 610 (specification, p. 5, line 29-33). The program 136 further enables the client 104 to display an indicator 604, 612 that indicates the Identity of an output tray from the plurality of output trays 139 that receives the document (specification, p. 7, line 23-31). Claim 11 further specifies the server 132 is the printer 106 (specification, p. 3, lines 1-6).

Claim 16 depends from claim 11 and further specifies that the indicator 604, 612 of claim 11 further indicates the present capacity of the output tray that is receiving the document to accept additional media (specification, p. 9, lines 5-23).

Independent claim 24 provides a printer 106 having a plurality of output trays 139 (specification, p. 5, lines 19-23). The printer 106 comprises apparatus 132 for responding to a request received from a client 132 by transmitting a program 136 of computer readable instructions to the client 104 (specification, p. 5, line 23-33). The program 136 is for enabling the client 132 to use the printer 106 to print a document 610 and to display an indicator 604, 612 that indicates an output tray from the plurality of output trays 139 that receives the document (specification, p. 5, line 29-33).

Claim 26 depends from claim 24 and further specifies the program 136 is further for enabling the client 104 to inform a user the present capacity of the output tray receiving the document (specification, p. 9, lines 5-23).

6. GROUNDS OF REJECTION TO BE REVIEWED.

- 1. Claim 16 stands rejected under 35 U.S.C. § 102(e) as being anticipated by Nozawa (U.S. Patent Number 6,781,709).
- 2. Claim 26 stands rejected under 35 U.S.C. § 102(e) as being anticipated by Nozawa (U.S. Patent Number 6,781,709).

7. ARGUMENT.

For the discussion that follows, it is noted that the MPEP, at Section 2131, states: "A claim is anticipated only if each and every element as set forth in the claim is found, either expressly or inherently described, in a single prior art reference." Verdegaal Bros. v. Union Oil Co. of California, 814 F.2d 628, 631, 2 USPQ2d 1051, 1053 (Fed. Cir. 1987). The identical invention must be shown in as complete detail as is contained in the ... claim." Richardson v. Suzuki Motor Co., 868 F.2d 1226, 1236, 9 USPQ2d 1913, 1920 (Fed. Cir. 1989)."

GROUND NO. 1

A. Claim 16 was improperly rejected under 35 U.S.C. § 102(e) as being anticipated by Nozawa (6,781,709).

Appellants contend that claim 16 was improperly rejected because <u>Nozawa</u> does not disclose all the elements and limitations of claim 16. Claim 16 depends from claim 11.

Claim 11 recites:

- A server, comprising:
 - (a) means for receiving a request from a client;

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(b) means for responding to the request by transmitting a program of computer readable instructions to the client, the program for enabling the client to:

i) use a specific printer having a plurality of output trays to print a

document; and

ii) display an indicator that indicates the identity of an output tray

from the plurality of output trays that receives the document;

wherein the server is the printer.

Claim 16 recites:

16. The server of claim 11, wherein the indicator further indicates the

present capacity of the output tray that is receiving the document to accept

additional media.

It is respectfully submitted that the 102 rejection of claim 16 should be withdrawn for at least

the reason that Nozawa does not disclose at least the claim features that are highlighted in

claim 16 above.

In the final rejection, and with regard to the limitation of claim 16, the Office stated that

"Nozawa discloses the server discussed in claim 11, and further teaches that the

indicator further indicates the present capacity of the output tray that is receiving the

document to accept additional media (see Figs. 10, 12, 14 and 19, column 15, lines 28-

colum 16, line 31, and column 19, lines 19-51)". (Office Action, page 5, paragraph 1).

It is respectfully submitted that this is incorrect. These particular sections cited by the

Office appears directed to dialog boxes that can be used to adjust printer settings.

Nowhere does Nozawa describe an indicator that indicates the present capacity of the

output tray that is receiving the document to accept additional media.

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Thus, <u>Nozawa</u> does not disclose all the elements and limitations recited in Applicant's claim 16. Therefore the rejection is improper at least for this reason and should be withdrawn.

GROUND NO. 2

B. Claim 26 was improperly rejected under 35 U.S.C. § 102(e) as being anticipated by Nozawa (6,781,709).

Appellants contend that claim 26 was improperly rejected because the <u>Nozawa</u> does not disclose all the elements and limitations of claim 26. Claim 26 depends from claim 24.

Claim 24 recites:

- 24. A printer having a plurality of output trays, comprising: apparatus for responding to a request received from a client by transmitting a program of computer readable instructions to the client, the program for enabling the client to:
 - i) use the printer to print a document; and
- ii) display an indicator that indicates an output tray from the plurality of output trays that receives the document.

Claim 26 recites:

26. The printer of claim 24, wherein the program is further for enabling the client to inform a user the present capacity of the output tray receiving the document.

It is respectfully submitted that the 102 rejection of claim 26 should be withdrawn for at least the reason that <u>Nozawa</u> does not disclose at least the claim features that are highlighted in claim 26 above.

In the final rejection, the Office repeated for claim 26 the rationale that had been advanced with regard to claim 16, discussed heretofore. For similar reasons as stated above, Nozawa does not teach a program that is provided by a printer to a client where the program enables the client to inform a user of the present capacity of the output tray receiving the document. Accordingly, it is respectfully asserted that Nozawa does not teach the limitations of claim 26.

Thus, <u>Nozawa</u> does not disclose all the elements and limitations recited in Applicants claim 26. Therefore the rejection is improper at least for this reason and should be withdrawn.

Respectfully submitted,

Shell S. Simpson

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By:

Matthew L. Wade Attorney for Appellant Reg. No. 42,206

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APPENDIX I -- CLAIMS INVOLVED IN THE APPEAL

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Claims 1-10 (canceled)

- 11. (previously presented) A server, comprising:
 - (a) means for receiving a request from a client;
- (b) means for responding to the request by transmitting a program of computer readable instructions to the client, the program for enabling the client to:
- i) use a specific printer having a plurality of output trays to print a document; and
- ii) display an indicator that indicates the identity of an output tray from the plurality of output trays that receives the document; wherein the server is the printer.

12. (canceled)

- 13. (original) The server of claim 11, wherein the indicator includes an image of the printer.
- 14. (original)The server of claim 11, wherein the indicator includes an image of the output tray that is receiving the document.
- 15. (original)The server of claim 11, wherein the client is a personal computer connected to the server over a network.
- 16. (original)The server of claim 11, wherein the indicator further indicates the present capacity of the output tray that is receiving the document to accept additional media.

- 17. (original) The server of claim 11, wherein the indicator includes an animated image of the printer.
 - 18. (original)The server of claim 11, wherein the program is Web content.

Claims 19-23 (canceled)

- 24. (original) A printer having a plurality of output trays, comprising: apparatus for responding to a request received from a client by transmitting a program of computer readable instructions to the client, the program for enabling the client to:
 - use the printer to print a document; and
- ii) display an indicator that indicates an output tray from the plurality of output trays that receives the document.
- 25. (original) The printer of claim 24, wherein the indicator includes an image of the printer.
- 26. (original) The printer of claim 24, wherein the program is further for enabling the client to inform a user the present capacity of the output tray receiving the document.
- 27. (original) The printer of claim 24, wherein the indicator includes an animated image of the printer.

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APPENDIX II -- EVIDENCE SUBMITTED UNDER RULES 130, 131 OR 132

none

APPENDIX III - RELATED PROCEEDINGS

none